



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR 5
PATENT #3

Attorney Docket: 6550-000028

Art Unit: 1649

Examiner:

Inventors: Richard F. Allison et al.

Serial No. 09/206,852

Filed: December 8, 1998

For: Methods For Transforming Plants

RESPONSE TO NOTICE
TO FILE MISSING PARTS;
and REQUEST FOR ONE-MONTH
EXTENSION OF TIME

Attn: Box Missing Parts

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 30, 1999.

By Ronald Z Hoff

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Pursuant to the Notice To File Missing Parts Of Application - Filing Date Granted mailed January 8, 1999 (copy enclosed) for which the two-month shortened statutory period for response was set to expire March 8, 1999, Applicants hereby request a one-month extension of time in which to respond and submit a check herewith for the appropriate fee.

Enclosed herewith are three (3) originally signed Declarations and Powers of Attorney, one executed by inventors Richard F. Allison and Jeanne Ohrnberger, one executed by inventor Rafaz Hoque and one executed by inventor Mark Desrosiers.

Also, enclosed herewith for recordal are three (3) Assignments of this application with attached coversheet; one Assignment is executed by inventors Richard F. Allison and Jeanne Ohrnberger, one Assignment is executed by inventor Rafaz Hoque and one Assignment is

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executed by inventor Mark Desrosiers. A check is enclosed to cover the fees associated with the assignment recordals.

In addition, enclosed herewith is a verification of Applicants' small entity status and an Establishment Of Assignee's Right To Prosecute Application Under 37 C.F.R. §3.73(b), and Power of Attorney.

Applicants enclose a check in the total amount of \$620.00, which covers the one-month extension of time fee of \$55.00, the late-filing surcharge of \$65.00, the patent application filing fee of \$380.00 and the Assignment recordal fees of \$120.00.

If for some reason, Applicant has not paid a sufficient fee to prevent the abandonment of this application, please charge Deposit Account No. 08-0750 for any further fees which may be due. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: March 30, 1999

By: Ronald L. Hofer
Ronald L. Hofer
Reg No. 26,467

Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, Michigan 48303
(248) 641-1600

RLH/DFS/gmp
Enclosures



Attorney Docket No. 6550-000028

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:	1649)	
Examiner:)	
Inventor(s):	Richard F. Allison et al.)	
Serial No.	09/206,852)	ESTABLISHMENT OF
Filed:	December 8, 1998)	ASSIGNEE'S RIGHT TO
For:	Methods For Transforming)	PROSECUTE APPLICATION
	Plants)	UNDER 37 C.F.R. § 3.73(b),
)	AND POWER OF ATTORNEY

Hon. Commissioner Of Patents & Trademarks
Washington, D. C. 20231

Sir:

Under 37 C.F.R. § 3.73(b), the undersigned hereby establishes the below-named Assignee's ownership in the above-identified Application:

Assignee: Board Of Trustees Operating Michigan State University
East Lansing, Michigan 48824

The documentary evidence of a chain of title from the original owner to the Assignee is provided in the Assignment Document(s):

X filed herewith.
____ previously filed,
Reel No. _____, Frame No. _____.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the

United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Assignee furthermore hereby appoints DeAnn F. Smith, Reg. No. 36,683, and each other principal, attorney of counsel, associate and employee of Harness, Dickey & Pierce, P.L.C., who is a registered Patent Attorney, as attorney of record, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (telephone 248-641-1600).

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Name: 
Fred L. Poston

Title: Interim VP for Finance & Operations and
Treasurer

Date: 3-17-99

Assignee: Board of Trustees Operating
Michigan State University

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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APR 05 1999
PATENT & TRADE

HARVEY'S TULVEY & FIERCE
PO BOX 628
BLOOMFIELD HILLS MI 48303

0262/0108

8477-4957 (ENGL)

1642

BEST AVAILABLE COPY

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 870.00.

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 160 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
- \$ _____ for _____ independent claims over 3.
- \$ _____ for _____ dependent claims over 20.
- \$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.821(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

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